

The Calabi-Yau problem in torus bundles and generalized Monge-Ampère equations.

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In this setting a *Kähler structure* is a pair (Ω, J) where Ω is compatible with J and J is integrable.

THE CALABI-YAU THEOREM

Given a Kähler structure (Ω, J) we define

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Let Ric be the Ricci tensor of the metric g induced by (Ω, J) . Then $\text{Ric}(J\cdot, J\cdot) = \text{Ric}(\cdot, \cdot)$ and $\rho(\cdot, \cdot) = \text{Ric}(J\cdot, \cdot)$ is the *Ricci form* of (Ω, J) .

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Calabi-Yau's Theorem. Let (M^{2n}, J, Ω) be a compact Kähler manifold and let $\tilde{\rho} \in \Lambda_{\mathbb{R}}^{1,1}$ be a closed form such that $[\tilde{\rho}] = 2\pi c_1(M, J)$. Then there exists a unique $\tilde{\omega} \in \mathcal{C}_\Omega$ such that $\tilde{\rho}$ is the Ricci form of $(\tilde{\omega}, J)$.

THE ALMOST-KÄHLER CASE (DONALDSON/WEINKOVE)

Calabi-Yau's Theorem [Symplectic version]. *Let (M^{2n}, J, Ω) be a compact Kähler manifold and let σ be a volume form satisfying $\int_M \Omega^n = \int_M \sigma$. Then there exists a unique $\tilde{\omega} \in \mathcal{C}_\Omega$ such that*

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We can write $\sigma = e^F \Omega^n$, where F satisfies

$$\int_M e^F \Omega^n = \int_M \Omega^n.$$

Then

$$\tilde{\omega}^n = \sigma \longleftrightarrow \begin{cases} (\Omega + d\alpha)^n = e^F \Omega^n \\ Jd\alpha = d\alpha \end{cases} \longleftrightarrow (\Omega + dd^c u)^n = e^F \Omega^n$$

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$(\Omega + dd^c u)^n = e^F \Omega^n$ is a complex Monge-Ampère equation.

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The classical case

$$\begin{cases} \omega^n = \sigma \\ [\omega] = [\Omega]. \end{cases} \longrightarrow \begin{cases} (\Omega + d\alpha)^n = e^F \Omega^n \\ Jd\alpha = d\alpha. \end{cases} \longrightarrow \begin{cases} (\Omega + dd^c u)^n = e^F \Omega^n \\ d\alpha = dd^c u. \end{cases}$$

The case with torsion

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$(*)$ is **not overdetermined** for $n = 2$ and it is **overdetermined** for $n > 2$.

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Question: Can the Calabi-Yau Theorem be generalized to AK 4-manifolds? (At least in the special case $b^+ = 1$)

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Proposition. *In dimension 4 solutions to the CY equation are unique.*

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Proof. Let ω_1 and ω_2 be two solutions to the CY equation.

Then

$$\begin{cases} \omega_1^2 = \omega_2^2, \\ \omega_2 = \omega_1 + d\alpha \end{cases} \implies d\alpha^2 + 2\omega_1 \wedge d\alpha = 0.$$

Consider $\bar{\omega} = \omega_1 + \omega_2$. $\bar{\omega}$ is a symplectic form.

$$\bar{\omega} \wedge d\alpha = 0 \implies *_{\bar{\omega}} d\alpha = -d\alpha \implies \|d\alpha\|_{\bar{\omega}} = 0. \quad \text{q.e.d.}$$

S.K. Donaldson, in *Inspired by S.S. Chern*, World Sci. (2006)

B. Weinkove, *J.D.G.* (2006).

EXISTENCE OF A SOLUTION

Donaldson's Conjecture. *Let (M, Ω, J, σ) be a compact symplectic 4-manifold with an acs J tamed by Ω and a normalized volume form σ . If $\tilde{\omega} \in [\Omega]$ is a symplectic form on M which is compatible with J and solving the CY equation*

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Applications:

- ▶ Calabi-Yau's theorem holds on compact 4-dimensional AK manifolds with $b^+ = 1$.
- ▶ If $b^+ = 1$ and there exists Ω taming J , then there exists $\tilde{\omega}$ which is compatible with J .

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AK POTENTIAL (WEINKOVE)

Let (M, Ω, J) be a 4-dim. AK manifold and let $\tilde{\omega}$ be a J -compatible symplectic form such that $[\Omega] = [\tilde{\omega}]$. Then there exists $u \in C^\infty(M)$ (**AK potential**) and $a \in \Omega^1(M)$ s.t.

$$(\tilde{\omega} - \Omega) \wedge \tilde{\omega} = dd^c u \wedge \tilde{\omega}, \quad \tilde{\omega} = \Omega + dd^c u + da, \quad d_{\tilde{\omega}}^* a = 0,$$

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Theorem. [Weinkove]. *In order to show the solvability of the CY equation on 4-dimensional AK manifolds its enough to prove a C^0 a priori bound on the AK potential.*

That can be done if the L^1 -norm of N_J is small enough.

B. Weinkove, J.D.G. (2006).

THE CASE OF POSITIVE CURVATURE (TOSATTI-WEINKOVE-YAU)

Given an almost-Hermitian manifold (M, g, J) , there exists a unique connection ∇^C (Chen connection) satisfying

$$\nabla^C J = 0, \quad \nabla^C g = 0, \quad \text{Tor}^{1,1} = 0.$$

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Theorem. [Tosatti, Weinkove, Yau] *Let (M, Ω, J) be a compact AK manifold. Assume $\mathcal{R} > 0$, then Donaldson's conjecture holds.*

V. Tosatti, B. Weinkove, S.T. Yau, *Proc. London Math. Soc.*, 2008

THE CY EQUATION ON THE KODAIRA-THRUSTON MANIFOLD

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The Kodaira-Thurston manifold is defined as $M = \Gamma \backslash Nil^3 \times S^1$, where

$$Nil^3 = \left\{ \begin{bmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{bmatrix} : x, y, z \in \mathbb{R} \right\}, \quad \Gamma = \left\{ \begin{bmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{bmatrix} : x, y, z \in \mathbb{Z} \right\}$$

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M has a global left-invariant coframe $\{e^1, e^2, e^3, e^4\}$

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$b_1(M) = 3$ and M has no Kähler structures

[K] K.Kodaira, *Amer. J. Math.*, 1964

M is a T^2 -bundle over a \mathbb{T}^2

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Argument of the proof:

- ▶ Writing $\sigma = e^F \Omega_0^2$, then every solution $\tilde{\omega} = \Omega_0 + d\alpha$ of the CY equation satisfies $\text{tr}_{g_0} \tilde{g} \leq \text{Min}_M \Delta F$
- ▶ The continuity method gives the result.

[TV] V. Tosatti, B. Weinkove, *J. Inst. Math. Jussieu*, 2011.

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Theorem. [Li]. *The Monge-Ampère equation on the standard torus \mathbb{T}^n has always a solution.*

[Li] Y.Y. Li, *Comm. Pure Appl. Math.*, 1990.

CHANGING THE FIBRATION IN THE PREVIOUS CASE

Consider $(M = \Gamma \backslash Nil^3 \times S^1, J_0, \Omega_0)$ the T^2 -fibration

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Here we can use the ansatz

$$\underline{\alpha = d^c v - v e^1} = (-v_t - v)e^1 - v_x e^4, \quad v \in C^\infty(\mathbb{T}_{xt}^2).$$

which implies

$$d\alpha = -v_{tx} e^{12} + (v_{tt} + v_t) e^{13} - v_{xx} e^{24} + (-v_{tx}) e^{34} \in \Lambda_{\mathbb{R}}^{1,1}$$

and the CY equation becomes the Monge-Ampère equation

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CY EQUATION ON T^2 -BUNDLES OVER \mathbb{T}^2

Theorem [Fino, Li, Salamon, V / Buzano, Fino, V] *Let M be a T^2 -bundle over a \mathbb{T}^2 equipped with an invariant AK structure (Ω, J) . Then for every T^2 -invariant normalized volume form $\sigma = e^F \Omega^2$ with $F \in C^\infty(\mathbb{T}^2)$, the corresponding CY equation has a unique solution.*

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1. Every orientable T^2 -bundle over a \mathbb{T}^2 is an *infra-solvmanifold*, i.e. a finite quotient of a solvmanifold. ([Ue])

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1. Every orientable T^2 -bundle over a \mathbb{T}^2 is an *infra-solvmanifold*, i.e. a finite quotient of a solvmanifold. ([Ue])
2. If $M = G$ is a 4-dimensional infra-solvmanifold equipped with an *invariant* AK structure (Ω, J) . Then condition $\mathcal{R} > 0$ holds if and only if J is integrable.

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Remarks:

1. Every orientable T^2 -bundle over a \mathbb{T}^2 is an *infra-solvmanifold*, i.e. a finite quotient of a solvmanifold. ([Ue])
2. If $M = G$ is a 4-dimensional infra-solvmanifold equipped with an *invariant* AK structure (Ω, J) . Then condition $\mathcal{R} > 0$ holds if and only if J is integrable. In particular the Tosatti-Weinkove-Yau theorem cannot be applied to the case of a T^2 -bundle over a \mathbb{T}^2 .

[Ue] M. Ue, *J. Math. Soc. Japan*, 2009.

CY EQUATION ON T^2 -BUNDLES OVER \mathbb{T}^2

Theorem [Fino, Li, Salamon, –/ Buzano, Fino, –]. *Let M be a T^2 -bundle over a \mathbb{T}^2 equipped with an invariant AK structure (Ω, J) . Then for every T^2 -invariant normalized volume form $\sigma = e^F \Omega^2$ with $F \in C^\infty(\mathbb{T}^2)$, the corresponding CY equation has a unique solution.*

Layout of the proof:

- ▶ Using the classification of orientable T^2 -bundles over \mathbb{T}^2 ;
- ▶ Classifying in each case *invariant Lagrangian* AK structures and *invariant Symplectic* AK structures;
- ▶ Rewriting the problem in terms of a Monge-Ampère equation;
- ▶ Showing that such an equation has solution.

The classification of T^2 -bundles over \mathbb{T}^2

	G	Structure equations
i, ii	\mathbb{R}^4	$(0, 0, 0, 0)$
iii	$Nil^3 \times \mathbb{R}$	$(0, 0, 0, 12)$
iv, v	$Sol^3 \times \mathbb{R}$	$(0, 0, 13, 41)$
$vi, vii, viii$	$Nil^3 \times \mathbb{R}$	$(0, 0, 0, 12)$
ix	Nil^4	$(0, 13, 0, 12)$

- The Lie group G is called *the geometry type*. M has Kähler structures only in the cases i, ii [G];
- in the cases iv, v M has no complex structures [FG].

[G] H. Geiges, *Duke Math. J.*, 1992.

[FG] M. Fernandez, A. Gray, *Geom. Dedicata*, 1990.

Geometry type $G = Nil^3 \times \mathbb{R}$

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In this case all the total spaces are *nilmanifolds*, all the invariant AK structures are *Lagrangian* and we can work as in the *Kodaira-Thurston* manifold.

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In this case the total spaces could be *infra-nilmanifolds*, invariant AK structures could be either *Lagrangian* or non-Lagrangian and the argument used in the Kodaira-Thurston case has to be modified.

Geometry type $G = \text{Sol}^3 \times \mathbb{R}$

	G	Structure equations
<i>i, ii</i>	\mathbb{R}^4	$(0, 0, 0, 0)$
<i>iii</i>	$\text{Nil}^3 \times \mathbb{R}$	$(0, 0, 0, 12)$
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<i>ix</i>	Nil^4	$(0, 13, 0, 12)$

In this case the total space could be an *infra-solvmanifold*, all invariant AK structures are *non-Lagrangian* and the CY equation reduces to a Monge-Ampère equation.

Geometry type $G = Nil^4$

	G	Structure equations
i, ii	\mathbb{R}^4	$(0, 0, 0, 0)$
iii	$Nil^3 \times \mathbb{R}$	$(0, 0, 0, 12)$
iv, v	$Sol^3 \times \mathbb{R}$	$(0, 0, 13, 41)$
$vi, vii, viii$	$Nil^3 \times \mathbb{R}$	$(0, 0, 0, 12)$
ix	Nil^4	$(0, 13, 0, 12)$

In this case all total spaces are *nilmanifolds*, all invariant AK structures are *Lagrangian* and the CY reduces to the same Monge-Ampère equation for *Lagrangian* AK structures in the families $vi)$, $vii)$, $viii)$ associated to $Nil^3 \times \mathbb{R}$.

The Monge-Ampère equation

The following equation covers all the cases

$$A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + E_2 e^F$$

where

$$A_{11}[u] = u_{xx} + B_{11}u_y + C_{11} + Du,$$

$$A_{12}[u] = u_{xy} + B_{12}u_y + C_{12},$$

$$A_{22}[u] = u_{yy} + B_{22}u_y + C_{22},$$

and B_{ij}, C_{ij}, D, E_i are constants.

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and B_{ij}, C_{ij}, D, E_i are constants.

In the Lagrangian case $D = 0$

Solutions to the Monge-Ampère equation

Goal: Show that $A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + E_2 e^F$ has a solution on \mathbb{T}^2 .

We apply the continuity method to

$$A_{11}[u]A_{22}[u] - (A_{12}[u])^2 = E_1 + (1-t)E_2 + tE_2 e^F \quad (*_t).$$

by defining $S := \{t \in [0, 1] : (*_t) \text{ has a solution } u \in C_0^{2,\alpha}(\mathbb{T}^2)\}$ and showing that S is open and closed in $[0, 1]$.

In this way we show the existence of a $C^{2,\alpha}$ solution u and a theorem of Nirenberg implies that u is C^∞ .

L. Nirenberg, *Comm. Pure Appl. Math.* 1953.

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- ▶ S is open by the implicit function theorem.
- ▶ in order to show that S is closed it's enough to give an a priori bound on the first derivatives of the solutions to $(*_t)$ in view of an interior estimates proved by Heinz.

E. Heinz, in *Proc. Sympos. Pure Math.*, 1961.

CY EQUATION ON S^1 -FIBRATIONS OVER A \mathbb{T}^3

The Kodaira-Thurston manifold has a natural structure of principal S^1 -bundle over a \mathbb{T}^3

$$\begin{array}{ccc} S^1 \hookrightarrow & \Gamma \backslash Nil^3 \times S^1 = M & \\ & \downarrow & \\ & \mathbb{T}^2 \times S^1 = \mathbb{T}_{xyt}^3 & \end{array}$$

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 \end{array}$$

We can study the CY problem for S^1 -invariant volume forms (instead that T^2 -invariant).

Theorem [Buzano-Fino-V]. *The CY equation on (M, J_0, Ω_0) can be solved for every S^1 -invariant normalized volume form σ .*

PROOF OF THE THEOREM

Step 1. The system reduces to a single equation

Let $u \in C_0^\infty(\mathbb{T}^3)$. If

$$\alpha = d^c u - ue^1$$

then

$$Jd\alpha = d\alpha \quad (\text{i.e. } d\alpha \text{ is } (1, 1))$$

and the CY equation reduces to

$$(u_{xx} + 1)(u_{yy} + u_{tt} + u_t + 1) - u_{xy}^2 - u_{xt}^2 = e^F.$$

PROOF OF THE THEOREM

Step 2. C^0 -a priori estimates

Let $u \in C_0^2(\mathbb{T}^3)$ be such that $(u_{xx} + 1)(u_{yy} + u_{tt} + u_t + 1) - u_{xy}^2 - u_{xt}^2 = e^F$

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- $|u_x| < 1$

- $\|\nabla |u|^{p/2}\|_{L^2}^2 \leq \frac{p^2}{16} \|u\|_{L^p}^p + \frac{5p^3}{16} \|1 + e^F\|_{C^0} \|u\|_{L^p}^{p-1}$

[In Yau's proof: $\|\nabla |\varphi|^{p/2}\|_{L^2}^2 \leq \frac{np^2}{4p-1} (\|1 - e^F\|_{C^0}) \|\varphi\|_{L^p}^{p-1}$]

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Finally:

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- $\|u\|_{L^2} \leq \|1 + e^F\|_{C^0}$,

Finally:

- $\|u\|_{C^0} \leq C$, where $C = C(\|F\|_{C^0})$.

PROOF OF THE THEOREM

Step 3. First order estimates

Let $u \in C_0^4(\mathbb{T}^3)$ solving $(u_{xx} + 1)(u_{yy} + u_{tt} + u_t + 1) - u_{xy}^2 - u_{xt}^2 = e^F$, then

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- $\|u\|_{C^1} \leq C_2$, where $C_2 = C_2(\|F\|_{C^2})$.

PROOF OF THE THEOREM

Step 4. $C^{2,\rho}$ estimates

Theorem [Tosatti-Wang-Weinkove-Yang]. *Let $\tilde{\Omega}$ be the solution of the Calabi-Yau equation. Assume there are two constants $\tilde{C}_0 > 0$ and $0 < \rho_0 < 1$ such that $F \in C^{\rho_0}(M^{2n})$ and*

$$\mathrm{tr} \tilde{g} \leq \tilde{C}_0,$$

Then there exist two constants $\tilde{C} > 0$ and $0 < \rho < 1$, depending only on M^{2n} , Ω , J , C_0 and $\|F\|_{C^{\rho_0}}$, such that $\|\tilde{g}\|_{C^\rho} \leq \tilde{C}$.

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Proposition. *Let $u \in C_0^4(\mathbb{T}^3)$ solving $(u_{xx} + 1)(u_{yy} + u_{tt} + u_t + 1) - u_{xy}^2 - u_{xt}^2 = e^F$. Then there exist constants $C_3 > 0$ and $\rho > 0$, both depending only on $\|F\|_{C^2}$, such that*

$$\|u\|_{C^{2,\rho}} \leq C_3.$$

PROOF OF THE THEOREM

Step 5. Continuity Method

Let S be the set of $\tau \in [0, 1]$ such that

$$(u_{yy} + u_{tt} + u_t + 1)(u_{xx} + 1) - u_{xy}^2 - u_{xt}^2 = 1 - \tau + \tau e^F$$

has a solution in $C_0^\infty(\mathbb{T}^3)$.

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Then $1 \in S$ and the claim follows. □

A NEW PROOF OF OUR THEOREM (TOSATTI-WEINKOVE)

Recently Tosatti and Weinkove have provided a simplified proof of the C^0 -a priori estimate for solution to

$$(u_{xx} + 1)(u_{yy} + u_{tt} + u_t + 1) - u_{xy}^2 - u_{xt}^2 = e^F$$

on \mathbb{T}^3 based on the Aleksandrov-Bakelman-Pucci estimate.

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Proposition [Székelyhidi]. *Let $v: \bar{B}_r(0) \rightarrow \mathbb{R}$ be a smooth map satisfying*

$$v(0) + \varepsilon \leq \inf_{\partial B_r(0)} v$$

for some $\varepsilon > 0$. Then

$$\varepsilon^n \leq C_0 \int_P \det(D^2v)$$

where

$P = \{x \in B_r(0) : |Dv(x)| < \varepsilon/2, v(y) > v(x) + Dv(x)(y-x) \forall y \in B_r(0)\}$

and $C_0 = C_0(n)$.

A NEW PROOF OF OUR THEOREM (TOSATTI-WEINKOVE)

Let $u \in C^\infty(\mathbb{T}^3)$ be such that

$$(u_{xx} + 1)(u_{yy} + u_{tt} + u_t + 1) - u_{xy}^2 - u_{xt}^2 = e^F \quad u \leq 0, \quad \min u < -1.$$

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Define $v = u + \frac{\epsilon}{r^2}(x^2 + y^2 + t^2)$.

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$$\epsilon^n \leq C_0 \int_P \det(D^2v) \quad \text{and} \quad \det(D^2v(x)) \leq C, \quad \forall x \in P$$

for a uniform C .

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for a uniform C . Therefore $\epsilon^n \leq C|P|$ and

$$\|u\|_{C^0} \leq \frac{C^{1/p}}{\epsilon^{n/p}} \|u\|_{L^p} + 1.$$

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for a uniform C . Therefore $\epsilon^n \leq C|P|$ and

$$\|u\|_{C^0} \leq \frac{C^{1/p}}{\epsilon^{n/p}} \|u\|_{L^p} + 1.$$

On the other hand, $\Delta u + u_t > -2$ which implies that $\|u\|_{L^p}$ is uniformly bounded.

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Theorem [Tosatti-Weinkove]. *Let (Ω, J) be an invariant AK structure on the Kodaira-Thurston manifold M inducing the standard metric. Then the CY equation on (M, J, Ω) can be solved for every S^1 -invariant normlized volume form σ .*

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Problem. *Generalize the previous theorem to every invariant AK on M .*

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Problem. *Generalize the previous theorem to every invariant AK on M .*

Proposition. It is possible to generalize the theorem if we assume $\langle e_1, e_2, e_3 \rangle$ orthogonal to e_4 .

THE GENERAL CASE ON THE KODAIRA-THURSTON MANIFOLD

(WORK IN PROGRESS WITH E. BUZANO, A. FINO AND Y.Y. LI)

Now we consider the CY problem on the Kodaira-Thurston manifold (M, Ω_0, J_0) when σ is *not invariant*.

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Now we consider the CY problem on the Kodaira-Thurston manifold (M, Ω_0, J_0) when σ is *not invariant*.

Functions on M can be regarded as functions $u: \mathbb{R}^4 \rightarrow \mathbb{R}$ satisfying

$$u(x + j, y + k, z + jy + m, t + n) = u(x, y, z, t),$$

for all (x, y, z, t) in \mathbb{R}^4 and (j, k, m, n) in \mathbb{Z}^4 .

THE EQUATION ON THE HEISENBERG GROUP

(WORK IN PROGRESS WITH E. BUZANO, A. FINO AND Y.Y. LI)

Theorem. Assume $\sigma = e^F \Omega_0^2$ be such that $F \in C_0^\infty(\text{Nil}^3/\Gamma)$. Assume that

$$\begin{aligned} & [u_y + xu_x + 1]^2 (u_{xx} + u_{zz}) + [u_x^2 + u_z^2 + e^F] [u_{yy} + x^2 u_{zz} + 2xu_{yz}] \\ & - 2u_x [u_y + xu_z + 1] [u_{xy} + xu_{xz}] - 2u_z [u_y + xu_z + 1] [u_{yz} + xu_{zz}] \\ & - e^F [F_y + xF_z] [u_y + xu_z + 1] = 0, \end{aligned}$$

has a solution u . Then there exist $v, w \in C_0^\infty(\text{Nil}^3/\Gamma)$ such that

$$\alpha = v e^1 + \partial_z w e^2 + u e^3 - \partial_x w e^4$$

solve

$$\begin{cases} (\Omega + d\alpha)^2 = e^F \Omega^2 \\ Jd\alpha = d\alpha. \end{cases}$$