

# Root Multiplicities of the Kac-Moody Algebra $HD_n^{(1)}$

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# Outline

- 1 Introduction
- 2 Construction of  $HD_n^{(1)}$  from  $D_n^{(1)}$
- 3 Crystals of Affine Kac-Moody Algebras
- 4 Root Multiplicities of  $HD_n^{(1)}$

# Classification of Kac-Moody Algebras

Symmetrizable Kac-Moody algebras can be classified into three types, based on the properties of their GCM:

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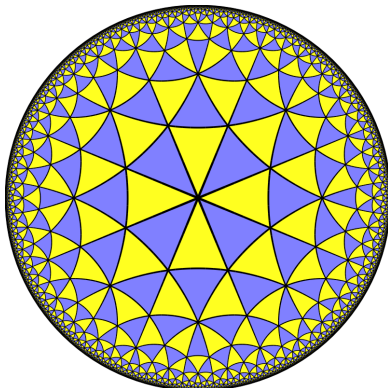
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## Some Motivation



**Figure:** Example of a hyperbolic tessellation.

# Root Multiplicities

An element  $\alpha \in \mathfrak{h}^*$ , is called a root of  $\mathfrak{g}$  if  $\alpha \neq 0$  and  $\{0\} \neq \mathfrak{g}_\alpha = \{x \in \mathfrak{g} \mid [h, x] = \alpha(h)x, h \in \mathfrak{h}\}$ .

A fundamental problem in the study of Kac-Moody algebras is to determine the root multiplicities, i.e. the dimension of the root spaces  $\mathfrak{g}_\alpha$ .

These are known to be 1 for finite type, and affine type root multiplicities are also all known.



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- 1  $HA_1^{(1)}$  (Feingold and Frankel, 1983)
- 2  $HA_n^{(1)}$  (Kang and Melville, 1994),
- 3  $E_{10} = HE_8^{(1)}$  (Kac, Moody, Wakimoto, 1998),  $HG_2^{(1)}$  and  $HD_4^{(3)}$  (Hontz and Misra, 2002), and
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# Introducing $HD_n^{(1)}$

Consider the Kac-Moody algebra  $HD_n^{(1)}$  with Dynkin diagram given below:

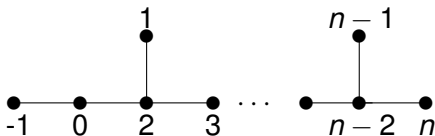


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## Outline of the Construction

The construction of  $HD_n^{(1)}$  has three ingredients:

- The Lie algebra  $\mathfrak{g}_0 := D_n^{(1)}$ .
- The  $\mathfrak{g}_0$ -modules  $V(\Lambda_0)$  and  $V^*(\Lambda_0)$ .
- A  $\mathfrak{g}_0$ -module homomorphism  $\psi : V^*(\Lambda_0) \otimes V(\Lambda_0) \rightarrow \mathfrak{g}_0$ .

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## The $\mathfrak{g}_0$ -module homomorphism.

Theorem ([Benkart Kang Misra 93])

The map

$$\psi : V^*(\Lambda_0) \otimes V(\Lambda_0) \rightarrow \mathfrak{g}_0$$

given by

$$v^* \otimes w \mapsto - \sum_{i \in \mathcal{I}} \langle v^*, x_i \cdot w \rangle x_i - 2 \langle v^*, w \rangle c$$

is a  $\mathfrak{g}_0$ -module homomorphism, where  $\mathfrak{g}_0$  is considered as a module under the adjoint action.

## The Lie Algebra $\tilde{\mathfrak{g}}$ .

Now, let  $\tilde{\mathfrak{g}}_1 := V(\Lambda_0)$ ,  $\tilde{\mathfrak{g}}_{-1} := V^*(\Lambda_0)$ ,  $\tilde{\mathfrak{g}}_-$  and  $\tilde{\mathfrak{g}}_+$  be the free Lie algebras generated by  $\tilde{\mathfrak{g}}_{-1}$  and  $\tilde{\mathfrak{g}}_1$  respectively. Let  $\tilde{\mathfrak{g}}_{\pm i} = \text{span}\{[y_1, [y_2, [\dots, [y_{i-1}, y_i] \dots ]]] \mid y_1, y_2, \dots, y_i \in \tilde{\mathfrak{g}}_{\pm 1}\}$ .

Theorem ([Benkart Kang Misra 93])

*The vector space  $\tilde{\mathfrak{g}} := \tilde{\mathfrak{g}}_- \oplus \mathfrak{g}_0 \oplus \tilde{\mathfrak{g}}_+$  is a graded Lie algebra with bracket given by extending the following:*

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## The Ideal $J$ .

Let  $J_{\pm k} := \{x \in \tilde{\mathfrak{g}}_k \mid [y_1, [y_2, \dots, [y_{k-1}, x] \dots]] = 0, \forall y_1, y_2, \dots, y_{k-1} \in \tilde{\mathfrak{g}}_{\pm 1}\}$ . Let  $J_{\pm} := \bigoplus_{k>1} J_{\pm k}$  and  $J := J_+ \oplus J_-$ .

Theorem ([Benkart Kang Misra 93])

$J_+$  and  $J_-$  are ideals of  $\tilde{\mathfrak{g}}$ , and  $J$  is the maximal graded ideal that intersects  $\tilde{\mathfrak{g}}_{-1} \oplus \mathfrak{g}_0 \oplus \tilde{\mathfrak{g}}_1$  trivially.

Theorem ([Benkart Kang Misra 93])

Let  $\mathfrak{g}(A)$  be the Kac-Moody algebra with GCM  $A$ , and let  $\mathfrak{g}_0$  be a subalgebra of  $\mathfrak{g}(A)$ . Then  $\mathfrak{g}(A)$  is isomorphic to  $\tilde{\mathfrak{g}}/J$ .

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## Kang's Multiplicity Formula

By Kostant's theorem for Lie algebra cohomology and the Euler-Poincaré principle:

$$\prod_{\alpha \in \Delta^-(S)} (1 - e(\alpha))^{\dim(\mathfrak{g}_\alpha)} = 1 - \sum_{\substack{w \in W(S) \\ \ell(w) \geq 1}} (-1)^{\ell(w)+1} \text{ch}(V(w(\rho) - \rho))$$

where

- $S = \{0, 1, \dots, n\}$ : The index set of simple roots of  $\mathfrak{g}_0 = D_n^{(1)}$ .
- $\Delta_S$ : The set of roots of  $\mathfrak{g}_0$ .
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# Perfect Crystals

## Definition (Perfect Crystal)

For a positive integer  $l > 0$ , we say that a finite classical crystal  $\mathcal{B}$  is a *perfect crystal of level  $l$*  if it satisfies the following conditions:

- 1 there exists a finite dimensional  $U'_q(\mathfrak{g})$ -module with a crystal base whose crystal graph is isomorphic to  $\mathcal{B}$ ,
- 2  $\mathcal{B} \otimes \mathcal{B}$  is connected,
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- for any  $b \in \mathcal{B}$ , we have  $\langle c, \varepsilon(b) \rangle \geq l$ ,
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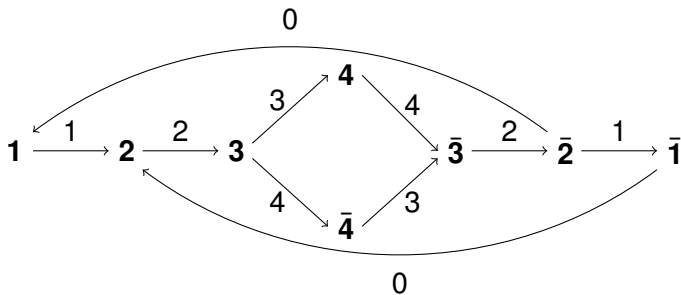
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# Example: level 1 perfect crystal for $D_4^{(1)}$



## Affine Crystals

### Theorem ([KKMMNN 92])

Fix a positive integer  $l > 0$  and let  $\mathcal{B}$  be a perfect crystal of level  $l$ . For any classical dominant weight  $\lambda \in \bar{P}_l^+$ , there exists a unique crystal isomorphism

$$\Psi : \mathcal{B}(\lambda) \rightarrow \mathcal{B}(\varepsilon(b_\lambda)) \otimes \mathcal{B}$$

given by  $u_\lambda \mapsto u_{\varepsilon(b_\lambda)} \otimes b_\lambda$ , where  $b_\lambda$  is the unique element in  $\mathcal{B}$  such that  $\varphi(b_\lambda) = \lambda$ .

## Path Model (cont.)

Set  $\lambda_0 = \lambda$ ,  $\lambda_{k+1} = \varepsilon(\lambda_k)$ ,  $b_0 = b_\lambda$ ,  $b_{k+1} = b_{\lambda_{k+1}}$ . The sequences

$$\mathbf{w}_\lambda := (\lambda_k)_{k=0}^\infty, \mathbf{b}_\lambda := (b_k)_{k=0}^\infty,$$

are periodic with the same period  $N$ .

### Definition

- 1 The sequence  $\mathbf{b}_\lambda$  is called the *ground-state path* of weight  $\lambda$ .
- 2 A  $\lambda$ -path in  $\mathcal{B}$  is a sequence  $\mathbf{p} = (p_k)_{k=0}^\infty$  with  $p_k = b_k$  for all  $k \gg 0$ .

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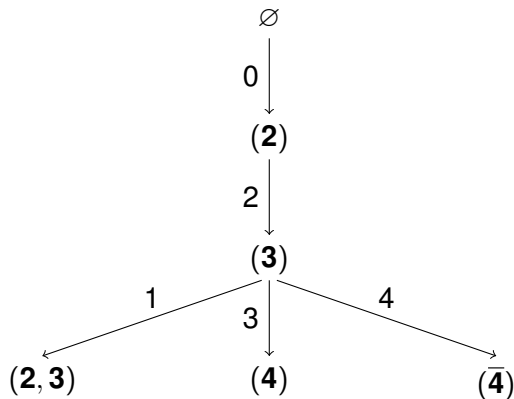
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## Example: top part of $\mathcal{B}(\Lambda_0)$ for $D_4^{(1)}$



We now consider only  $\mathfrak{g} = HD_n^{(1)}$ .

- The *degree* of a root  $\alpha = -\sum_{i=-1}^n a_i \alpha_i$  is defined to be  $a_{-1}$  and is denoted  $\deg(\alpha)$ .
- Consider the element  $-l\alpha_{-1} - k\delta \in Q^-$ , where  $\delta = \alpha_0 + \alpha_1 + 2\sum_{i=2}^{n-2} \alpha_i + \alpha_{n-1} + \alpha_n$ . Then we have the following:

Theorem ([Klima Misra 08] for  $C_n^{(1)}$ , analogous proof)

$\alpha = -l\alpha_{-1} - k\delta$  is a root of  $HD_n^{(1)}$  only if  $k \geq l$ . If  $l = k$  then  $\text{mult}(\alpha) = n$ .

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## A Lemma on $W(S)$ .

Here are the elements of  $W(S)$  of length 1 and 2:

$l(w)$	$w$	$w\rho - \rho$	level
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## Roots of degree 1

If  $\alpha = -\alpha_{-1} - k\delta$  then  $\text{mult}(\alpha) = \dim(V(\Lambda_0)_\alpha)$ . These are given for  $D_n^{(1)}$  by the following generating series:

$$\sum_{k=0}^{\infty} \dim(V(\Lambda_0)_{\Lambda_0 - k\delta}) q^k = \prod_{i=1}^{\infty} (1 - q^i)^{-n}.$$

Using the binomial expansion  $(1 - q^i)^{-n} = \sum_{j=0}^{\infty} \binom{-n}{j} q^{ij}$ , we can in principle compute the multiplicity of  $\alpha$  for any  $k$ . In particular, we see that it is a polynomial in  $n$  of degree  $k$ .

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The first few multiplicity polynomials are given in the following table:

Root	Multiplicity
$-\alpha_{-1} - \delta$	$n$
$-\alpha_{-1} - 2\delta$	$\frac{n(n+3)}{2}$
$-\alpha_{-1} - 3\delta$	$\frac{n(n+1)(n+8)}{6}$
$-\alpha_{-1} - 4\delta$	$\frac{n(n+1)(n+3)(n+14)}{24}$
$-\alpha_{-1} - 5\delta$	$\frac{n(n+3)(n+6)(n^2+21n+8)}{120}$

## Degree 2 Roots

For  $\tau \in P_2^+$  let

$$X(\tau) = \sum_{\lambda < \tau} \dim(V(\Lambda_0)_\lambda) \dim(V(\Lambda_0)_{\tau-\lambda}).$$

Then Kang's formula gives:

$$\text{mult}(-2\alpha_{-1} - 3\delta) = X(2\Lambda_0 - 3\delta) - \dim(V(\Lambda_2 - \delta)_{2\Lambda_0 - 3\delta}).$$

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$$\text{Let } \lambda_i = \begin{cases} \Lambda_i - \Lambda_{i-1} & \text{if } 1 \leq i \leq n, i \neq 2, n-1, \\ \Lambda_2 - \Lambda_0 - \Lambda_1 & \text{if } i = 2, \\ \Lambda_{n-2} - \Lambda_{n-1} - \Lambda_n & \text{if } i = n-1. \end{cases}$$

$\lambda$	$\dim(V(\Lambda_0)_\lambda)$	$\dim(V(\Lambda_0)_{\alpha-\lambda})$	Count
$\Lambda_0$	1	$\frac{n(n+1)(n+8)}{6}$	1
$\Lambda_0 \pm \lambda_i \pm \lambda_j - \delta, i < j$	1	$n$	$\frac{4n(n-1)}{2}$
$\Lambda_0 - \delta$	$n$	$\frac{n(n+3)}{2}$	1

We have:  $X(2\Lambda_0 - 3\delta) = \frac{n(n+1)(n+8)}{6} + \frac{n^2(5n-1)}{2}$ .

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## Conjecture (Misra, W.)

Let  $\alpha$  be a root of  $HD_4^{(1)}$  of degree 2. Then  $\text{mult}(\alpha)$  depends only on  $1 - \frac{(\alpha|\alpha)}{2}$

If true, then the following is a generating series for the root multiplicities:

$$\sum_{k=0}^{\infty} f(k)q^k = \left( \sum_{k=0}^{\infty} p^4(k)q^k \right) (1 - 3q^8 + 7q^{10} - 15q^{12} + 30q^{14} - \dots)$$

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## Possible future work

- Further areas to explore:
  - Proving the conjecture of polynomial behavior of root multiplicities for  $HD_n^{(1)}$  of fixed degree  $k$ .
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